

# Sustaining Ethical Aquatic Trade

## Management



# Theme 2 – Food, Agriculture and Fisheries, and Biotechnology

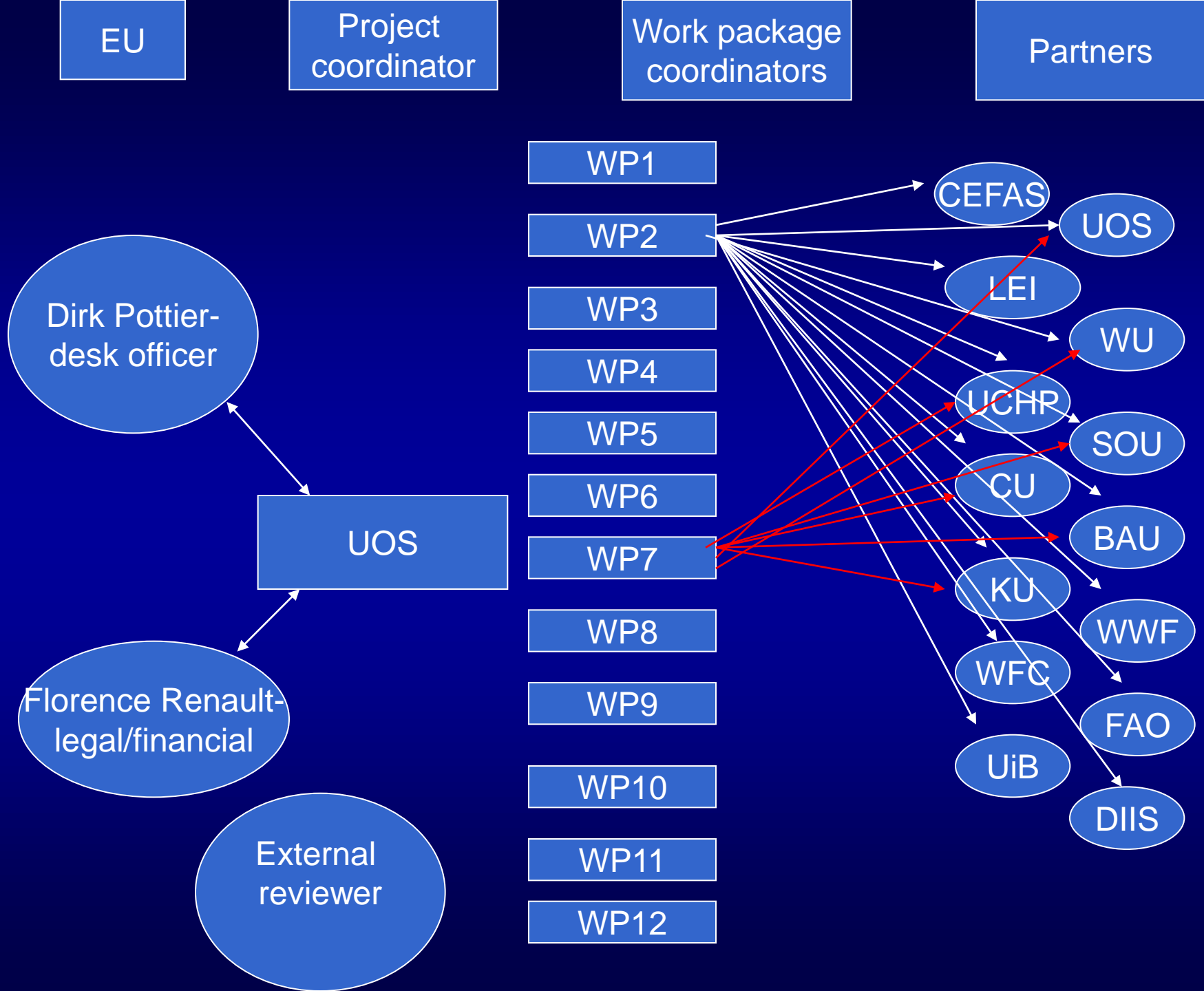
- Improving the sustainability of food chains
- Why the EU supports this type of research
- Why is ‘sustainability’ important politically
- Food security or food self-sufficiency

# Work packages

- What is a work package?
- Time-bound (milestones), related activities, resulting in deliverables
- Work package Coordinator-specialist knowledge of the area

# Disciplines

| Geography | Disciplines |               |                 |             |              |        |            |
|-----------|-------------|---------------|-----------------|-------------|--------------|--------|------------|
|           | LCA         | Envi' issues' | Social and econ | Food safety | Contaminants | Ethics | Trade info |
| China     |             |               |                 |             |              |        |            |
| B'desh    |             |               |                 |             |              |        |            |
| Thailand  |             |               |                 |             |              |        |            |
| Vietnam   |             |               |                 |             |              |        |            |
| Europe    |             |               |                 |             |              |        |            |



# Reporting

- During the course of the project, we must submit:
  - The deliverables according to the timetable
  - A periodic report 60 days after the end of the reporting periods
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- Period 1: 1-12 months;
  - Period 2 13- 30 months
  - Period 3 31-48 months

# Reporting responsibilities

- Partners contributing to various WPs need to respond to requests by WP coordinators for timely reporting
- Project coordinators collate WP reports and produce
  - Publishable summary
  - Review of project progress to meeting objectives
  - Summary of project management activities

# Periodic reports

- By work package,
  - A summary of progress towards objectives and details for each task
  - List of deliverables by category due in reporting period
  - Highlight significant results
  - Give reasons for deviations from DOW
  - Give reasons for failure to achieve critical objectives
  - Statement on use of resources (personnel, subcontracting and major direct costs)

# Final report

- Due 60 days after the end of the project
- Final publishable comprehensive summary report
- Report on wider societal implications
- Plan for use and dissemination of the foreground (i.e. information and results arising from the project)
- Compiled by Coordinators with inputs from all partners

# Project Coordination Team (PCT)

- project coordinator in addition to a (part-time) project administrator
- day-to-day management-related activities, particularly in its administrative and purely operational aspects.
- provide guidance to WP leaders regarding issues of integration of WPs
- meet formally weekly, in addition to more regular informal contact (daily) and action points of these meetings regarding all project issues will be posted on the Wiki (see below).

# Project Steering Group (PSG)

- Members
  - work-package coordinators and the project coordinator, co-opted members may be drawn from other partner institutes when appropriate
- Roles
  - coordinating and monitoring scientific, technical, and innovation-related activities of the project, with special regard to WP milestones and deliverables, project objectives
- will meet twice yearly in Europe. Key discussion points and all actions will be reported to consortium via the Wiki (see below).

# Project Administration Group (PAG)

- a representative of each partner with responsibility for contractual, administrative and management-related aspects
- advises the PSG on main operational management activities including travel.
- members of the PAG will be nominated at the start of the project and communicate electronically.

# Project Consultative Committee

- Individuals from Asia and Europe influential in senior policy and trade environments
- Prioritised to receive key deliverables and policy messages and to critique them before broader dissemination
- Attend meetings?
- Membership?

# The Consortium Agreement

# What is it?

- Formal rules for participation in FP7 projects governance, IP and dissemination of results – supplement to the Grant Agreement (GA)
- To ensure efficient and timely implementation
- Clarify responsibilities and liabilities
- Draft based on EC regulation No. 1906/2006
- Terms negotiable e.g. reflecting institutional norms
- Effective/ legally binding on signature of all consortium institutions (by legal entity)

# Work Package (WP) Committees

- Tasks: Review quality and ensure timely reporting to co-ordinator and PSG
- Advance warning of delays of other party BoC to co-ordinator and PSG
- Implementation plans (changes to Tech Annex to be reviewed by PSG)

# Breach of contract (BoC)

- Serious breach of obligations under CA or GA
- Written notice from co-ordinator requiring remedy within thirty days
- Worst-case termination of participation and refund of costs

# Intellectual property rights

- Distinguishes between:
  - Background: existing knowledge shared by partners
  - Foreground: primary knowledge generated by project
- Recipient liable for third party propriety rights
- Terms for joint ownership, transfer, dissemination of 'foreground'

# Justifying and funding costs

- Each party has sole responsibility (not co-ordinator) - usual institutional practices
- Funding of eligible costs only
- 100% eligible: banking, IP, dissemination, chairpersons
- Payments: pre-financing and 2 interim

# What's next?

- Consult on draft with your relevant institutional legal representatives
- Forward proposed modifications to Stirling by end of Jan 2010
- Stirling to circulate final draft (following dialogue) – by mid Feb 2010
- All parties to sign off by end of Feb 2010

# Sub-contracts

- Tightly controlled by EU need to be detailed in DOW BUT
- We convinced them that we need to have a *demand-led* approach and that we could not therefore designate MSMEs or activities at this stage
- Asian partners have Euro 15000/partner to support SME involvement in Action research. Each to be identified from WP2 onwards and activity developed as a contract
- Can this money leverage greater contributions form

# Sub contracts (contd)

- Audit trail on employment-be careful of 'consultants;-non reimbursable cost
- Partner 3 has sub-contracts with specialists in Bangladesh and Vietnam
- Partner 5 with TMK for regional support
- Partner 1 with Seafood choice Alliance in WP12

# Deliverables

- Types; nature, dissemination level
- Inputs/ resources used by which beneficiary in the reporting period
- personnel time to match with time sheets
- Draft to be finalised after inception meeting

# Publications policy

- Encourage and support contribution and mixed authorship
- Encouraging junior staff
- Web-base information management coordinated by UOS
- Scientific monographs-WFC
- High impact journal
- Interdisciplinary outputs-particular efforts

# Publications-a suggested policy

- **Authorship**
- An author based on a *substantial intellectual contribution* to a scientific investigation. All authors should meet the following three criteria, and all those who meet the criteria should be authors:
- **Scholarship:** significant contribution to the conception, design, execution, *and/or* analysis and interpretation of data
- **Authorship:** Participate in drafting, reviewing, and/or revising the manuscript for intellectual content
- **Approval:** Approve the manuscript to be published.
- An administrative relationship, acquisition of funding, collection of data, or general supervision of a research group alone does not constitute authorship.

# Lead author

- Overall responsibility for the manuscript, managerial and corresponding author roles, provides a significant contribution to the research effort. A lead author is not necessarily the principal investigator or project leader. The lead author is responsible for:
  - **Authorship:** Including as co-authors all and only those individuals who meet the authorship criteria set forth in this policy.
  - **Approval:** Providing the draft of the manuscript to each individual contributing author for review and consent for authorship. The lead author should obtain from all co-authors their agreement to be designated as such and their approval of the manuscript. A journal may have specific requirements governing author review and consent, which must be followed.
  - **Integrity:** responsible for the integrity of the work as a whole, and ensuring that reasonable care and effort has been taken to determine that all the data are complete, accurate, and reasonably interpreted

# Internships

- Resource allocated (10000 euro.partner) to support competitive, interdisciplinary internships to
  - UiB
  - LEI
  - and UCPH
- Focus identified that requires an interdisciplinary approach between one of the above partners and another aspect of the project.
- Open competition through web-based call on a specific proposal

# Collaboration with other EC projects

- Encouraged by EU
- Recently approved ASEM coordinated support action –Asian aquaculture network
- SARNISSA-network for Africa